

# Convenient Vector Spaces, Convenient Manifolds and Differential Linear Logic

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ongoing discussions with  
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June 20, 2011

- Develop a theory of (smooth) manifolds based on differential linear logic. Or perhaps develop a differential linear logic based on manifolds.
- Convenient vector spaces were recently shown to be a model.
- There is a well-developed theory of convenient manifolds, including infinite-dimensional manifolds.
- Convenient manifolds reveal additional structure not seen in finite dimensions. In particular, the notion of tangent space is much more complex.
- Synthetic differential geometry should also provide information. Convenient vector spaces embed into an extremely good model.

## Definition

A vector space is *locally convex* if it is equipped with a topology such that each point has a neighborhood basis of convex sets, and addition and scalar multiplication are continuous.

- Locally convex spaces are the most well-behaved topological vector spaces, and most studied in functional analysis.
- Note that in any topological vector space, one can take limits and hence talk about derivatives of curves. A curve is *smooth* if it has derivatives of all orders.
- The analogue of Cauchy sequences in locally convex spaces are called *Mackey-Cauchy sequences*.
- The convergence of Mackey-Cauchy sequences implies the convergence of all Mackey-Cauchy nets.

The following is taken from a long list of equivalences.

## Theorem

Let  $E$  be a locally convex vector space. The following statements are equivalent:

- If  $c: \mathbb{R} \rightarrow E$  is a curve such that  $\ell \circ c: \mathbb{R} \rightarrow \mathbb{R}$  is smooth for every linear, continuous  $\ell: E \rightarrow \mathbb{R}$ , then  $c$  is smooth.
- Every Mackey-Cauchy sequence converges.
- Any smooth curve  $c: \mathbb{R} \rightarrow E$  has a smooth antiderivative.

## Definition

A vector space satisfying any of these conditions is called a *convenient* vector space.

The theory of bornological spaces axiomatizes the notion of bounded sets.

## Definition

A *convex bornology* on a vector space  $V$  is a set of subsets  $\mathcal{B}$  (the bounded sets) such that

- $\mathcal{B}$  is closed under finite unions.
- $\mathcal{B}$  is downward closed with respect to inclusion.
- $\mathcal{B}$  contains all singletons.
- If  $B \in \mathcal{B}$ , then so are  $2B$  and  $-B$ .
- $\mathcal{B}$  is closed under the convex hull operation.

A map between two such spaces is *bornological* if it takes bounded sets to bounded sets.

- To any locally convex vector space  $V$ , we associate the *von Neumann bornology*.  $B \subseteq V$  is bounded if for every neighborhood  $U$  of  $0$ , there is a real number  $\lambda$  such that  $B \subseteq \lambda U$ .
- This is part of an adjunction between locally convex topological vector spaces and convex bornological vector spaces. The topology associated to a convex bornology is generated by *bornivorous disks*.

## Theorem

*Convenient vector spaces can also be defined as the fixed points of these two operations, which satisfy Mackey-Cauchy completeness and a separation axiom.*

Yet another way to define convenient vector spaces:

## Definition

Let  $X$  be a set. Let  $\mathcal{C}_X \subseteq \text{Hom}(\mathbb{R}, X)$  be a set of functions, called the *smooth curves* into  $X$ . Let  $\mathcal{F}_X \subseteq \text{Hom}(X, \mathbb{R})$  be another set, called the *functionals* on  $X$ . These determine each other in the sense that:

$$\mathcal{C}_X = \{f: \mathbb{R} \rightarrow X \mid \forall g \in \mathcal{F}_X, g \circ f: \mathbb{R} \rightarrow \mathbb{R} \text{ is smooth.}\}$$

$$\mathcal{F}_X = \{g: X \rightarrow \mathbb{R} \mid \forall f \in \mathcal{C}_X, g \circ f: \mathbb{R} \rightarrow \mathbb{R} \text{ is smooth.}\}$$

The triple  $(X, \mathcal{C}_X, \mathcal{F}_X)$  is called a *Frölicher space*.

Let  $X$  and  $Y$  be Frölicher spaces. A function  $f: X \rightarrow Y$  is a *map of Frölicher spaces* if  $f(\mathcal{C}_X) \subseteq \mathcal{C}_Y$ . This is equivalent to requiring  $f^*(\mathcal{F}_Y) \subseteq \mathcal{F}_X$ .

## Theorem (Frölicher, Kriegel)

*The category of Frölicher spaces and maps is cartesian closed.*

A Frölicher space inherits a bornology from its space of functionals.  
 $U \subseteq X$  is bounded if and only if  $f(U) \subseteq \mathbb{R}$  is bounded for all  $f \in \mathcal{F}_X$ .

## Theorem

*Convenient vector spaces can also be defined as internal vector spaces in the category of Frölicher spaces satisfying a completeness condition.*



# Convenient vector spaces VI: Key points

- The category  $\text{Con}$  of convenient vector spaces and continuous linear maps forms a symmetric monoidal closed category. The tensor is a completion of the algebraic tensor. There is a convenient structure on the space of linear, continuous maps giving the **internal hom**.
- Since these are topological vector spaces, one can define smooth curves into them.

## Definition

A function  $f: E \rightarrow F$  with  $E, F$  being convenient vector spaces is *smooth* if it takes smooth curves in  $E$  to smooth curves in  $F$ .

## Convenient vector spaces VII: More key points

- The category of convenient vector spaces and smooth maps is cartesian closed. This is an enormous advantage over Euclidean space, as it allows us to consider function spaces.
- There is a comonad on  $\mathbf{Con}$  such that the smooth maps form the coKleisli category:

We have a map  $\delta$  as follows, with  $C^\infty(E)$  being the set of smooth, real-valued maps.:

$$\delta: E \rightarrow \mathbf{Con}(C^\infty(E), \mathbb{R}) \quad \delta(x)(f) = f(x)$$

Then we define  $!E$  to be the closure of the span of the set  $\delta(E)$ .

### Theorem (Frölicher, Kriegl)

- $!$  is a comonad.
- $!(E \oplus F) \cong !E \otimes !F$ .
- Each object  $!E$  has canonical bialgebra structure.

## Theorem (Frölicher, Kriegl)

*The category of convenient vector spaces and smooth maps is the coKleisli category of the comonad !.*

One can then prove:

## Theorem (RB, Ehrhard, Tasson)

*Con is a model of differential linear logic. In particular, it has a codereliction map given by:*

$$\text{coder}(v) = \lim_{t \rightarrow 0} \frac{\delta(tv) - \delta(0)}{t}$$

# Convenient vector spaces IX: Codereliction

Using this codereliction map, we can build a more general differentiation operator by precomposition:

Consider  $f: !E \rightarrow F$  then define  $df: E \otimes !E \rightarrow F$  as the composite:

$$E \otimes !E \xrightarrow{\text{coder} \otimes \text{id}} !E \otimes !E \xrightarrow{\nabla} !E \xrightarrow{f} F$$

## Theorem (Frölicher, Kriegel)

*Let  $E$  and  $F$  be convenient vector spaces. The differentiation operator*

$$d: \mathcal{C}^\infty(E, F) \rightarrow \mathcal{C}^\infty(E, \text{Con}(E, F))$$

*defined as*

$$df(x)(v) = \lim_{t \rightarrow 0} \frac{f(x + tv) - f(x)}{t}$$

*is linear and bounded. In particular, this limit exists and is linear in the variable  $v$ .*

# A convenient differential category

The above results show that  $\text{Con}$  really is an optimal differential category.

- The differential inference rule is really modelled by a directional derivative.
- The  $\text{coKleisli}$  category really is a category of smooth maps.
- Both the base category and the  $\text{coKleisli}$  category are closed, so we can consider function spaces.

This seems to be a great place to consider manifolds. There is a well-established theory.

Kriegl, Michor-*The convenient setting for global analysis*

## Definition

- A *chart*  $(U, u)$  on a set  $M$  is a bijection  $u: U \rightarrow u(U) \subseteq E$  where  $E$  is a fixed convenient vector space, and  $u(U)$  is an open subset.
- Given two charts  $(U_\alpha, u_\alpha)$  and  $(U_\beta, u_\beta)$ , the mapping  $u_{\alpha\beta} = u_\alpha \circ u_\beta^{-1}$  is called a *chart-changing*.
- An *atlas* or *smooth atlas* is a family of charts whose union is all of  $M$  and all of whose chart-changings are smooth.
- A (*convenient*) *manifold* is a set  $M$  with an equivalence class of smooth atlases.
- Smooth maps are defined as usual.

## Lemma

*A function between convenient manifolds is smooth if and only if it takes smooth curves to smooth curves.*

# This is a complicated subject.

## Definition

A manifold  $M$  is *smoothly hausdorff* if smooth real-valued functions separate points.

Note that this implies:

- $M$  is hausdorff in its usual topology, **which implies:**
- The diagonal is closed in the manifold  $M \times M$ .

These three notions are equivalent in finite-dimensions. In the convenient setting, the reverse implications are open. Note that the product topology on  $M \times M$  is different than the manifold topology! Also:

## Lemma

*There are smooth functions that are not continuous. (Seriously.)*

# Smooth real-compactness

We have a map:

$$\delta: E \rightarrow \text{Hom}_{\text{Alg}}(C^\infty(E), \mathbb{R})$$

## Theorem

*For finite-dimensional vector spaces and in fact any finite-dimensional manifolds, this map is a bijection.*

It may or may not be a bijection for more general manifolds. We say:

## Definition

A convenient vector space is *smoothly real-compact*, if the above map is a bijection.

## Theorem (Arias-de-Reyna, Kriegl, Michor)

*Lots of spaces are smoothly real-compact. Lots are not.*



The many equivalent notions of tangent in finite-dimensions now become distinct. See Kriegl-Michor.

## Definition

Let  $E$  be a convenient vector space, and let  $a \in E$ . A *kinematic tangent vector* at  $a$  is a pair  $(a, X)$  with  $X \in E$ . Let  $T_a E = E$  be the space of all kinematic tangent vectors at  $a$ .

The above should be thought of as the set of all tangent vectors at  $a$  of all curves through the point  $a$ .

For the second definition, let  $C_a^\infty(E)$  be the quotient of  $C^\infty(E)$  by the ideal of those smooth functions vanishing on a neighborhood of  $a$ . Then:

## Definition

An *operational tangent vector* at  $a$  is a continuous derivation, i.e. a map

$$\partial: C_a^\infty(E) \rightarrow \mathbb{R}$$

such that

$$\partial(f \circ g) = \partial(f)g(a) + f(a)\partial(g)$$

Note that every kinematic tangent vector induces an operational one via the formula

$$X_a(f) = df(a)(X)$$

where  $d$  is the directional derivative operator. Let  $D_a E$  be the space of all such derivations.

# Tangent spaces III

In finite dimensions, the above definitions are equivalent and the described operation provides the isomorphism. That is no longer the case here.

Let  $Y \in E''$ , the second dual space.  $Y$  canonically induces an element of  $D_a E$  by the formula  $Y_a(f) = Y(df(a))$ . This gives us an injective map  $E'' \rightarrow D_a E$ . So we have:

$$T_a E \hookrightarrow E'' \hookrightarrow D_a E$$

## Definition

$E$  satisfies the *approximation property* if  $E' \otimes E$  is dense in  $\text{Con}(E, E)$  (This is basically the MIX map.).

## Theorem (Kriegel, Michor)

If  $E$  satisfies the approximation property, then  $E'' \cong D_a E$ . If  $E$  is also reflexive, then  $T_a E \cong D_a E$ .

- Convenient vector spaces embed nicely into a well-behaved model of **synthetic differential geometry**.
- In **SDG**, the (kinematic) tangent bundle takes on a particularly simple form. It is an exponential.
- A model of **SDG** is, roughly speaking, a universe (a topos) in which all functions are smooth, and yet the category is cartesian closed. So the motivation is very much the same as ours.
- The model in question is called the *Cahiers topos*, and is due to E. Dubuc. The embedding is based on the notion of *Weil Prolongation*, due to A. Kock, and the final steps in the embedding are due to A. Kock and G. Reyes.

The difference between **DG** and **SDG** is the existence of infinitesimals. Weil prolongation is a way of adding them. The nLab calls this *thickening by infinitesimals*.

## Definition

A *Weil algebra* is a  $\mathbb{R}$ -bilinear map  $\mu: \mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}^n$  making  $\mathbb{R}^n$  into a commutative algebra such that the element  $(1, 0, 0, \dots, 0)$  is the unit and the set  $I = \{(0, r_1, r_2, \dots, r_n)\}$  is a nilpotent ideal with nilpotence degree less than or equal to  $n$ .

The primary example is the ring  $\mathbb{R}[x]/(x^2)$  or  $\mathbb{R}[\varepsilon]$  where  $\varepsilon^2 = 0$ , the ring of dual numbers.

Generalizing our previous example,  $\mathbb{R}[x]/(x^n)$  is a Weil algebra.

## Theorem

*Let  $A$  be an  $\mathbb{R}$ -algebra. The following are equivalent, with  $m$  being the relevant maximal ideal.*

- *$A$  is a Weil algebra.*
- *$A$  is of the form  $\mathbb{R}[x_1, x_2, \dots, x_n]/I$ , where for each variable  $x_i$  there is a natural number  $n$  with  $X_i^n \in I$ .*
- *$A$  is isomorphic to  $\mathbb{R}[[x_1, x_2, \dots, x_n]]/I$ , with  $I$  a power of the unique maximal ideal.*
- *$A$  is isomorphic to a ring  $C_0^\infty(\mathbb{R}^n)/I$  which is finite-dimensional as a real vector space.*

## Definition

In the following  $X$  is a convenient vector space, and let  $X'$  be its linear, continuous dual space. Let  $I$  be an ideal in the ring  $C^\infty(\mathbb{R}^n)$ .

Suppose  $f, g \in C^\infty(\mathbb{R}^n, X)$ .

Say that  $f \sim_I g$  if  $\varphi \circ f - \varphi \circ g \in I$  for all  $\varphi \in X'$ . This is an equivalence relation on the set  $C^\infty(\mathbb{R}^n, X)$ .

An equivalence class is called a *mod I jet into X*. We denote the set of equivalence classes by  $X \otimes W$ .

In the following, let CVS denote the category of convenient vector spaces, and *smooth* maps. Let We denote the category of Weil algebras and homomorphisms.

## Theorem (Kock)

*The Weil prolongation process gives a functor  $- \otimes - : \text{CVS} \times \text{We} \rightarrow \text{CVS}$ . Furthermore, the action of the monoidal category  $\text{We}$  on  $\text{CVS}$  is associative, in the sense that there is a natural isomorphism*

$$X \otimes (W_1 \otimes W_2) \cong (X \otimes W_1) \otimes W_2$$

*compatible with all relevant structure.*

The Cahiers topos is a *Grothendieck topos*, i.e. a category of sheaves for a (very generalized) notion of topology. Instead of a topological space, one has a category called the *site of definition* equipped with a *Grothendieck topology*.



## Weil prolongation III-Skipping many details

For this topos, the site of definition  $\mathcal{D}$  has objects of the form  $C^\infty(\mathbb{R}^n) \otimes W$ , with  $W$  a Weil algebra.

### Theorem (Kock-Reyes)

*The above action lifts to an action  $- \otimes - : \text{CVS} \times \mathcal{D} \rightarrow \text{CVS}$*

Now given such an action, we consider the exponential transpose of the composite:

$$\text{CVS} \times \mathcal{D} \longrightarrow \text{CVS} \longrightarrow \text{Set}$$

This is a functor  $J: \text{CVS} \rightarrow \text{Set}^{\mathcal{D}}$ .

### Theorem (Kock-Reyes)

- *For all convenient vector spaces  $X$ , the functor  $J(X)$  is a sheaf with respect to the Grothendieck topology.*
- *The functor  $J$  is full and faithful.*
- *$J$  preserves all finite limits, and the exponential structure.*

- Lifting the embedding to convenient manifolds?
- If this works, does the construction preserve the tangent bundle for either notion of tangent bundle?
- But are convenient manifolds the right thing? That category is not cartesian closed.
- Nishimura argues one should forget manifolds and generalize to some other class of Frölicher spaces. He has a specific proposal on the right class, but the existence of the embedding depends on a conjecture he hasn't managed to prove.
- Do any of these structures shed any light on the idea of differential linear logic for manifolds?