# Lecture #16: Proofs of Undecidability — Examples I Lecture Presentation

#### Languages of Interest

• TM+I: The language of encodings of Turing machines

 $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ 

and input strings  $\omega \in \Sigma^*$ . This language is *decidable*.

- A<sub>TM</sub>: A subset of TM+I, including encodings of Turing machines M (as above) and strings  $\omega \in \Sigma^*$  such that M accepts  $\omega$ . This language is **recognizable**, since it is the language of the "universal Turing machine" introduced in Lecture #12 but it is also **undecidable**, as shown in Lecture #13.
- HALT<sub>TM</sub>: Another subset of TM+I, including encodings of Turing machines M (as above) and strings ω ∈ Σ\* such that M halts when executed on input ω.

#### **Goal for Today**

The goal for this presentation is to establish that

$$A_{TM} \preceq_M HALT_{TM}$$

— introducing a process that can be used to establish many-one reductions, like these, along the way.

Thus participation in this lecture presentation will, very possibly, be useful preparation for Assignment #2.

What Do We Want To Produce?

Useful Aspects of This Problem — and How to Use This

Using the Decidability of the Language TM+I

#### **Thinking about Turing Machines and Input Strings**

Suppose that the input string,  $\mu \in \Sigma^{\star}_{\mathsf{TM}}$  encodes a Turing machine

 $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ 

and an input string

 $\omega \in \Sigma^{\star}$ .

- Either M accepts  $\omega$ , M rejects  $\omega$ , or M loops on  $\omega$ .
- Since the membership of the string  $f(\mu)$  in the language HALT<sub>TM</sub>  $\subseteq$  TM+I is being considered, we can consider  $f(\mu)$  to be an encoding of a Turing machine

$$\widehat{M} = (\widehat{Q}, \widehat{\Sigma}, \widehat{\Gamma}, \widehat{\delta}, \widehat{q}_0, \widehat{q}_{\mathsf{accept}}, \widehat{q}_{\mathsf{reject}})$$

and a string

$$\widehat{\omega} \in \widehat{\Sigma}^{\star}$$

— so that  $f(\mu) \in \mathsf{TM+I}$  when  $\mu \in \mathsf{TM+I}$ .

- Since we will need to show that the function  $f, \widehat{M}$  and  $\widehat{\omega}$  should easy to describe from M and  $\widehat{\omega}$ .

**Case:** M accepts  $\omega$ . What property should  $\widehat{M}$  and  $\widehat{\omega}$  satisfy? Why?

**Case:** M rejects  $\omega$ . What property should  $\widehat{M}$  and  $\widehat{\omega}$  satisfy? Why?

**Case:** M loops on  $\omega$ . What property should  $\widehat{M}$  and  $\widehat{\omega}$  satisfy? Why?

What Should  $\widehat{M}$  and  $\widehat{\omega}$  Be?

## **Describing This in More Detail**

Once again,

 $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\mathsf{accept}}, q_{\mathsf{reject}})$ 

and

 $\widehat{M} = (\widehat{Q}, \widehat{\Sigma}, \widehat{\Gamma}, \widehat{\delta}, \widehat{q}_0, \widehat{q}_{\mathsf{accept}}, \widehat{q}_{\mathsf{reject}}).$ 

How is  $\widehat{Q}$  Related To Q?

How is  $\widehat{\Sigma}$  Related To  $\Sigma$  ?

How is  $\widehat{\Gamma}$  Related To  $\Gamma$  ?

How is  $\hat{\delta}$  Related To  $\delta$ ?

How are  $\widehat{q}_0$   $\widehat{q}_{\sf accept}$  and  $\widehat{q}_{\sf reject}$  Related To  $q_0$ ,  $q_{\sf accept}$  and  $q_{\sf reject}$ ?

#### How is $f(\mu)$ Related To $\mu$ When $\mu \in TM+I$ ?

Since  $\mu \in TM+I$ ,

$$\mu = (\mu_1, \mu_2) \tag{1}$$

where  $\mu_1$  is the encoding of the Turing machine  $\mu_1$  and  $\mu_2$  is the encoding of the string  $\omega$ . If  $f(\mu)$  is as described above then

$$f(\mu) = (\widehat{\mu}_1, \widehat{\mu}_2) \tag{2}$$

where  $\hat{\mu}_1$  is the encoding of the Turing machine  $\hat{\mu}_1$  and  $\hat{\mu}_2$  is the encoding of the string  $\hat{\mu}_2$ . As described in the lecture on "universal Turing machines",

$$\mu_1 = (\mu_{1,1}, \mu_{1,2}, \mu_{1,3}, \mu_{1,4}) \tag{3}$$

where the following properties are satisfied.

- $\mu_{1,1}$  is the encoding of the set of states Q the unpadded decimal representation of the integer k such that |Q| = k + 1.
- $\mu_{1,2}$  is the encoding of the input alphabet  $\Sigma$  the unpadded decimal representation of the positive integer *h* such that  $|\Sigma| = h$ .
- $\mu_{1,3}$  is the encoding of the tape alphabet  $\Gamma$  the unpadded decimal representation of the positive integer m such that  $|\Gamma| = m + 1$ .
- μ<sub>1,4</sub> is the encoding of the transition function δ a listing of encodings of the transitions of M, separated by commas and enclosed by brackets, given in "dictionary" order.

Similarly,

$$\hat{\mu}_{1} = (\hat{\mu}_{1,1}, \hat{\mu}_{1,2}, \hat{\mu}_{1,3}, \hat{\mu}_{1,4})$$
(4)

where the following properties are satisfied.

- *µ*<sub>1,1</sub> is the encoding of the set of states *Q* — the unpadded decimal representation of the integer *k* such that |*Q*| = *k* + 1.
- $\hat{\mu}_{1,2}$  is the encoding of the input alphabet  $\hat{\Sigma}$  the unpadded decimal representation of the positive integer  $\hat{h}$  such that  $|\hat{\Sigma}| = \hat{h}$ .
- $\hat{\mu}_{1,3}$  is the encoding of the tape alphabet  $\hat{\Gamma}$  the unpadded decimal representation of the positive integer  $\hat{m}$  such that  $|\hat{\Gamma}| = \hat{m} + 1$ .
- $\widehat{\mu}_{1,4}$  is the encoding of the transition function  $\widehat{\delta}$  a listing of encodings of the transitions of  $\widehat{M}$ , separated by commas and enclosed by brackets, given in "dictionary" order.

How is  $\widehat{k}$  related to k? How is  $\widehat{\mu}_{1,1}$  related to  $\mu_{1,1}$ ?

How is  $\widehat{h}$  related to h ? How is  $\widehat{\mu}_{1,2}$  related to  $\mu_{1,2}$  ?

How is  $\widehat{m}$  related to m? How is  $\widehat{\mu}_{1,3}$  related to  $\mu_{1,3}$ ?

How are the transitions of  $\widehat{M}$  related to the transitions of M? How is  $\widehat{\mu}_{1,4}$  related to  $\mu_{1,4}$ ?

How is the encoding,  $\hat{\mu}_2$ , of  $\hat{\omega}$  related to the encoding,  $\mu_2$ , of  $\omega$ ?

### How Can We Use All of This?

Suppose that an algorithm has already been used to confirm that  $\mu \in TM+I$  and, furthermore, that the strings  $\mu_{1,1}$ ,  $\mu_{1,2}$ ,  $\mu_{1,3}$ ,  $\mu_{1,4}$  and  $\mu_2$  (as shown at lines (1) and (3)) have all been written to separate tapes of a multi-tape Turing machine — so that a "high-level" algorithm would have access to the values k, h, m and the transitions of M, as described above.

A "high-level" algorithm that completes the computation of  $f(\mu)$ , from  $\mu$ , in this case, is as follows:

Implementation-level details that might help a reader to understand, and agree, that the function f is computable, might include the following.

What about "Stage #1" of Assignment #2?

Writing Up the Solution: A First Claim and Its Proof

Writing Up the Solution: A Third Claim and Its Proof

Writing Up the Solution: A Third Claim and Its Proof

Conclusion

A Suggested Exercise