

# Computer Science 418

## Public-Key Cryptography and RSA

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Week 9

## Outline

- 1 Public-Key Cryptography
- 2 More Number Theory
- 3 The RSA Cryptosystem

## Public-Key Cryptography

Whitfield Diffie and Martin Hellman, “New Directions in Cryptography”, 1976.

- Note that Diffie and Hellman did not describe a specific means of *implementing* a public-key cryptosystem.
- They merely described how one could be used to achieve security, authentication, (and indirectly, integrity and non-repudiation).

Also secretly discovered in 1970 as “non-secret encryption” by Clifford Cocks and James H. Ellis of CESG (Communications-Electronics Security Group, part of the the UK Government’s Government Communications Headquarters(GCHQ))

- disclosed in 1987; see <http://jya.com/ellisdoc.htm>.

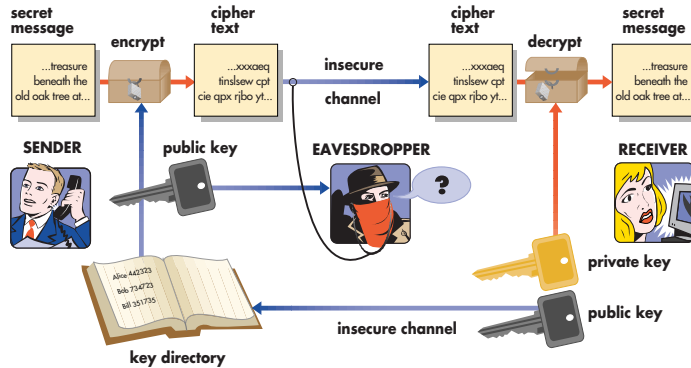
## Idea of Public-Key Cryptography

Every user has *two* keys

- encryption key is public (so everyone can encrypt messages)
- decryption key is only known to the

Deducing the decryption key from the encryption key should be computationally infeasible.

## Diagram of a Public-Key Cryptosystem



## Trap-door One-Way Functions

## Definition 1 (Trap-door one-way function)

A function  $f$  that satisfies the following properties:

- 1 **Ease of Computation:**  $f(x)$  is easy to compute for any  $x$ .
- 2 **Computation Resistance with Trap-door:** Given  $y = f(x)$  it is computationally infeasible to determine  $x$  *unless* certain special information used in the design of  $f$  is known.
  - When this *trap-door*  $k$  is known, there exists a function  $g$  which is easy to compute such that  $x = g(k, y)$ .

Key to designing public-key cryptosystems: decryption key acts as a trap door for the encryption function.

## Public-Key Cryptosystem

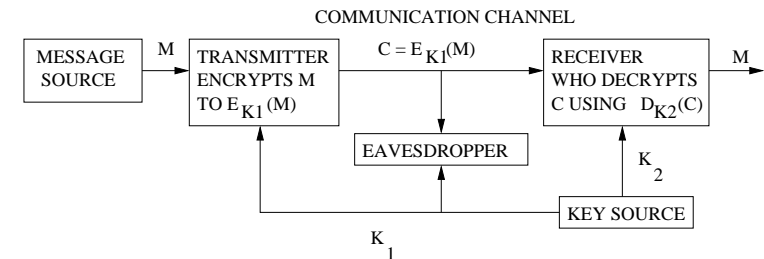
## Definition 2 (Public Key Cryptosystem (PKC))

A PKC consists of a plaintext space  $\mathcal{M}$ , a ciphertext space  $\mathcal{C}$ , a *public* key space  $\mathcal{K}$ , and encryption functions  $E_{K_1} : \mathcal{M} \rightarrow \mathcal{C}$ , indexed by public keys  $K_1 \in \mathcal{K}$ , with the following properties:

- 1 Every encryption function  $E_{K_1}$  has a left inverse  $D_{K_2}$ , where  $K_2$  is the *private* key corresponding to the public key  $K_1$ .
- 2  $E_{K_1}(M)$  and  $D_{K_2}(C)$  are easy to compute when  $K_1$  and  $K_2$  are known.
- 3  $D_{K_2}(E_{K_1}(M)) = M$  for all  $M \in \mathcal{M}$ .
- 4 Given  $K_1$ ,  $E_{K_1}$ , and  $C = E_{K_1}(M)$ , it is computationally infeasible to find  $M$  or  $K_2$ .

Properties 2, 3, 4 describe  $E_{K_1}$  as a trapdoor one-way function.

## Schematic of a Public-Key Cryptosystem



## Note 1

In a public-key cryptosystem (PKC), it is *not* necessary for the key channel to be secure.

## Properties of a PKC

Unlike conventional cryptosystems, messages encrypted using public key cryptosystems contain sufficient information to uniquely determine the plaintext and the key (given enough ciphertext, resources etc)

- The entropy contained in these systems is *zero*.
- This is the exact opposite of a perfectly secret system like the one-time pad.

Security in a public key cryptosystem lies solely in the computational cost of computing the plaintext and/or private key from the ciphertext (computational security).

## Hybrid Encryption

All PKC's in use today are much slower (by a factor of 1000-1500 or so) than conventional systems like AES, so they are generally not used for bulk encryption. Most common uses:

- Encryption and transmission of keys for conventional cryptosystems (*hybrid* encryption)
- Authentication and non-repudiation via digital signatures (later).

## RSA Motivation

In 1978, Ron Rivest, Adi Shamir and Len Adleman came up with the first actual realization of a PKC, called RSA after their initials.

This requires more number theory!

## Linear Diophantine Equations

Solve the *linear Diophantine equation*

$$ax + by = 1$$

given  $a, b \in \mathbb{Z}$ ,  $b > 0$ , and  $\gcd(a, b) = 1$ .

- If  $\gcd(a, b) \neq 1$ , there is no solution.
  - In general, an equation of the form  $ax + by = c$  has a solution if and only if  $\gcd(a, b)$  divides  $c$ .
- If  $b < 0$ , use  $-b$  and solve for  $(x, -y)$ .

Diophantine equations are named after Diophantus, a Greek mathematician who lived around 300-200 BCE.

## Euclidean Algorithm

Repeated division with remainder.

Given  $a, b \in \mathbb{Z}$ ,  $b > 0$ , and  $\gcd(a, b) = 1$  :

$$\begin{array}{ll} a = bq_0 + r_0 & q_0 = \lfloor a/b \rfloor, 0 < r_0 < b \\ b = r_0q_1 + r_1 & q_1 = \lfloor b/r_0 \rfloor, 0 < r_1 < r_0 \\ r_0 = r_1q_2 + r_2 & q_2 = \lfloor r_0/r_1 \rfloor, 0 < r_2 < r_1 \\ \vdots & \\ r_{n-3} = r_{n-2}q_{n-1} + r_{n-1} & r_{n-1} = \gcd(a, b) \\ r_{n-2} = r_{n-1}q_n + r_n & r_n = 0 \end{array}$$

## Termination

Notice that the sequence of remainders (the  $r_i$ ) is strictly decreasing

- thus, the sequence is finite (algorithm terminates).

## Theorem 1 (Lamé, 1844)

$$n < 5 \log_{10} \min(a, b).$$

More exactly, Lamé's Theorem states

$$n \leq \log_{\tau}(\min(a, b) + 1)$$

where  $\tau = (1 + \sqrt{5})/2$  is the golden ratio.

## Extended Euclidean Algorithm

Let  $A_{-2} = 0$ ,  $A_{-1} = 1$ ,  $B_{-2} = 1$ ,  $B_{-1} = 0$  and

$$A_k = q_k A_{k-1} + A_{k-2}, \quad B_k = q_k B_{k-1} + B_{k-2}$$

for  $k = 0, 1, \dots$

We have  $A_n = a$  and  $B_n = b$  ( $n$  from above), and

$$A_k B_{k-1} - B_k A_{k-1} = (-1)^{k-1}.$$

Putting  $k = n$  yields

$$\begin{aligned} A_n B_{n-1} - B_n A_{n-1} &= (-1)^{n-1} \\ a(-1)^{n-1} B_{n-1} + b(-1)^n A_{n-1} &= 1. \end{aligned}$$

Thus, a solution of  $ax + by = 1$  is given by

$$x = (-1)^{n-1} B_{n-1}, \quad y = (-1)^n A_{n-1}.$$

## Modular Inverses

Recall that  $\mathbb{Z}_m^* = \{a \in \mathbb{Z}_m \mid \gcd(a, m) = 1\}$  is the set of integers between 1 and  $m$  that are coprime to  $m$ .

$\mathbb{Z}_m^*$  consists of exactly those integers that have *modular inverses*:

- for every  $a \in \mathbb{Z}_m^*$ , there exists  $x \in \mathbb{Z}_m^*$  such that  $ax \equiv 1 \pmod{m}$ .

## Computing Modular Inverses

Given  $a \in \mathbb{Z}_m^*$ , solve the linear congruence  $ax \equiv 1 \pmod{m}$  for  $x \in \mathbb{Z}_m^*$ .

- We want  $x$  such that

$$m \mid ax - 1 \implies ax - 1 = ym \implies ax - my = 1.$$

- Can be solved using the Extended Euclidean Algorithm.
- We only need to compute the  $B_i$  because we only need  $x$ , not  $y$ .

### Example 3

For  $a \equiv 95x \equiv 1 \pmod{317}$ , we obtain  $x \equiv -10 \pmod{317}$ , so  $x \equiv 307 \pmod{317}$  is the modular inverse of 95.

## The RSA Cryptosystem

Named after Ron Rivest, Adi Shamir, and Len Adleman, 1978.

Initially, NSA pressured these guys to keep their invention secret.

Both encryption and decryption are modular exponentiations (same modulus, different exponents):

- Encryption:  $C \equiv M^e \pmod{n}$
- Decryption:  $M \equiv C^d \pmod{n}$

## RSA Setup

The designer

- 1 Selects two distinct large primes  $p$  and  $q$  (each around  $2^{1536} \approx 10^{463}$ )
- 2 Computes  $n = pq$  and  $\phi(n) = (p-1)(q-1)$ .
- 3 Selects a random integer  $e \in \mathbb{Z}_{\phi(n)}^*$  (so  $1 < e < \phi(n)$  and  $\gcd(e, \phi(n)) = 1$ ).
- 4 Solves the linear congruence

$$de \equiv 1 \pmod{\phi(n)}$$

for  $d \in \mathbb{Z}_{\phi(n)}^*$ .

- 5 Keeps  $d$  secret and makes  $n$  and  $e$  public:
  - the public key is  $K_1 = \{e, n\}$
  - the private key is  $K_2 = \{d\}$  (or  $\{d, p, q\}$ , discussed later).

## RSA Encryption and Decryption

**Encryption:** Messages for the designer are integers in  $\mathbb{Z}_n^*$

- if a message exceeds  $n$ , block it into less-than- $n$  size blocks

To send  $M$  encrypted, compute and send

$$C \equiv M^e \pmod{n} \text{ where } 0 < C < n.$$

**Decryption:** To decrypt  $C$ , the designer computes

$$M \equiv C^d \pmod{n} \text{ where } 0 < M < n.$$

## Why this Works

We have

$$C^d \equiv (M^e)^d \equiv M^{ed} \pmod{n},$$

Since  $d$  is chosen such that  $ed \equiv 1 \pmod{\phi(n)}$  we have

$$ed = k\phi(n) + 1 \text{ for some } k \in \mathbb{Z},$$

and

$$M^{ed} \equiv M^{k\phi(n)+1} \equiv MM^{k\phi(n)} \equiv M(M^{\phi(n)})^k \pmod{n}.$$

Euler's Theorem states that  $a^{\phi(n)} \equiv 1 \pmod{n}$ , so we have

$$C^d \equiv M(M^{\phi(n)})^k \equiv M(1)^k \equiv M \pmod{n}.$$

## What if $\gcd(M, n) \neq 1$ ?

We have assumed that  $\gcd(M, n) = 1$  in the description of RSA and for applying Euler's Theorem. Is this a problem?

- Can prove that encryption/decryption still work.
- The probability that  $\gcd(M, n) \neq 1$  is  $1/p + 1/q$ , i.e., *very small*.
- Note that since  $n = pq$  and  $M < n$ ,  $\gcd(M, n) \in \{1, p, q\}$ , and thus in these extremely rare cases we would likely find a factor of  $n$ .
- Paranoid users can guarantee that  $\gcd(M, n) = 1$  by simply taking messages in blocks such that  $M < p, q$  (twice as slow).