

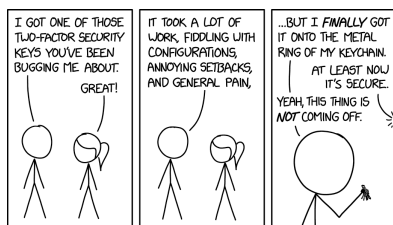
# CPSC 418/MATH 318 Introduction to Cryptography

More Number Theory, Security and Efficiency of Diffie-Hellman

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Week 6



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More Number Theory

## Recap: Primitive Roots

Let  $p$  be a prime

- **Fermat's Little Theorem:**  $a^{p-1} \equiv 1 \pmod{p}$  for every integer  $a$  with  $p \nmid a$ .
- **Def'n of primitive root:** an integer  $g \in \mathbb{Z}$  such that the smallest positive exponent  $k$  with  $g^k \equiv 1 \pmod{p}$  is  $p-1$ .
- **Equivalent characterization of primitive roots:** Every element of  $\mathbb{Z}_p^*$  is a unique power of a primitive root of  $p$ :

$$\mathbb{Z}_p^* = \{1, 2, \dots, p-1\} = \{g^0, g^1, \dots, g^{p-2} \pmod{p}\}.$$

- **Primitive Root Test:**  $g$  is a primitive root of  $p$  iff  $g^{(p-1)/q} \not\equiv 1 \pmod{p}$  for every prime factor  $q$  of  $p-1$ .

**Question:** how many primitive roots are there for a prime  $p$ ?

More Number Theory Euler's  $\phi$  Function

## Integers Modulo Composite Numbers

Define for  $m \in \mathbb{N}$  (set of positive integers):

- $\mathbb{Z}_m = \{0, 1, \dots, m-1\}$  set of integers modulo  $m$
- $\mathbb{Z}_m^* = \{a \in \mathbb{Z}_m \mid \gcd(a, m) = 1\}$  set of integers between 1 and  $m$  that are *coprime* to  $m$  (no common divisors with  $m$ ).

These are generalizations of  $\mathbb{Z}_p$  and  $\mathbb{Z}_p^*$  for to arbitrary integers.

### Example 1

$$\mathbb{Z}_{28} = \{0, 1, \dots, 27\} \text{ and } \mathbb{Z}_{28}^* = \{1, 3, 5, 9, 11, 13, 15, 17, 19, 23, 25, 27\}.$$

Euler's  $\phi$  Function

How many primitive roots are there for a given prime  $p$ ? That number is determined by the *Euler phi function* of  $p - 1$ .

Definition 2 (Euler's  $\phi$  Function)

Let  $m$  be a positive integer. *Euler's phi function* is defined via  $\phi(m) = |\mathbb{Z}_m^*|$ , the cardinality of  $\mathbb{Z}_m^*$ .

Interpretation:  $\phi(m)$  is the number of integers between 1 and  $m - 1$  which are coprime to  $m$ .

## Example 3

$$\phi(28) = |\mathbb{Z}_{28}^*| = |\{1, 3, 5, 9, 11, 13, 15, 17, 19, 23, 25, 27\}| = 12$$

Computing  $\phi$  in General

## Corollary 2

If the prime factorization of  $m$  is given by

$$m = p_1^{e_1} p_2^{e_2} \cdots p_k^{e_k}, \quad p_i \text{ prime,}$$

then

$$\begin{aligned} \phi(m) &= \phi(p_1^{e_1}) \phi(p_2^{e_2}) \cdots \phi(p_k^{e_k}) \\ &= p_1^{e_1-1} (p_1 - 1) p_2^{e_2-1} (p_2 - 1) \cdots p_k^{e_k-1} (p_k - 1) . \end{aligned}$$

## Example 4

$$\phi(28) = \phi(2^2 \times 7) = \phi(2^2) \phi(7) = 2^{2-1} (2 - 1) \times (7 - 1) = 12.$$

 $\phi$  on Prime Powers

Let  $p$  be a prime. Then

$$\begin{aligned} \phi(p) &= p - 1 = p^0(p - 1) \\ \phi(p^2) &= p^2 - p = p^1(p - 1) \\ &\vdots \\ \phi(p^n) &= p^n - p^{n-1} = p^{n-1}(p - 1) . \end{aligned}$$

What about composites with more than one prime factor?

## Theorem 1

If  $\gcd(m_1, m_2) = 1$ , then  $\phi(m_1 m_2) = \phi(m_1) \phi(m_2)$ .

In other words, Euler's phi function is *multiplicative*.

## Euler's Theorem

Recall *Fermat's Little Theorem*:

## Theorem 3 (Fermat)

If  $a$  is an integer and  $p$  is a prime with  $p \nmid a$ , then  $a^{p-1} \equiv 1 \pmod{p}$ .

The generalization to composite numbers is *Euler's Theorem*:

## Theorem 4 (Euler)

If  $a$  and  $m$  are integers with  $m > 0$  and  $\gcd(a, m) = 1$ , then  $a^{\phi(m)} \equiv 1 \pmod{m}$ .

Fermat's Little Theorem is the special case of Euler's Theorem with  $m = p$  prime.

## Sizes of $\phi(m)$ Versus $m$

For any prime  $p$ , we have  $\phi(p) = p - 1 \lesssim p$  (for  $p$  large).

How does  $\phi(m)$  compare to  $m$  in general? For  $m \geq 2$ , we have

$$\phi(m) \geq \frac{m}{e^\gamma \log \log(m) + \frac{2.5}{\log \log(m)}} \quad (\text{Rosser and Schoenfeld 1962})$$

where  $\gamma = \lim_{n \rightarrow \infty} \left( \sum_{k=1}^n \frac{1}{k} - \log(n) \right) \approx 0.577$  (*Euler-Mascheroni constant*).

So  $\phi(m)$  grows only marginally slower than  $m/e^\gamma \approx 0.573m$ .

## Euler's Theorem and Primitive Roots

### Theorem 5

For any prime  $p$ , there are exactly  $\phi(p - 1)$  primitive roots of  $p$ .

### Example 5

The number of primitive roots for  $p = 7$  is

$$\phi(p - 1) = \phi(6) = \phi(3 \cdot 2) = \phi(3)\phi(2) = (3 - 1)(2 - 1) = 2.$$

We saw earlier that they are 3 and 5.

### Example 6

For  $p \approx 2^{1024}$ , we have  $\phi(p - 1) \approx (p - 1)/14$ . So roughly one in 14 elements in  $\mathbb{Z}_p^*$  (about 7%) is a primitive root. We expect to find one after 14 random guesses.

## Diffie-Hellman Description – Recap

Public:

- Large prime  $p$ ,
- Primitive root  $g$  of  $p$  ( $1 < g < p$ ).

Alice	Public channel	Bob
Selects random $a$ ( $1 < a < p - 1$ )		Selects random $b$ ( $1 < b < p - 1$ )
$y_a \equiv g^a \pmod{p}$	$\xrightarrow{y_a}$	$y_a$
$y_b$	$\xleftarrow{y_b}$	$y_b \equiv g^b \pmod{p}$
$K \equiv y_b^a \pmod{p}$		$K \equiv y_a^b \pmod{p}$

Shared key:  $K \equiv y_b^a \equiv y_a^b \equiv g^{ba} \pmod{p}$ .

## Diffie-Hellman — Questions

How secure is this?

- How difficult is for an eavesdropper it to find  $K$ ?
- In general, how should  $p$  and  $g$  be chosen to maximize security?

How efficient is this?

- How easy is it to find suitable values for  $p$  and  $g$ ?
- How long does it take to compute  $y_a \equiv g^a \pmod{p}$  from  $g$  and  $a$  (also  $y_b$  and  $K$ )?

## Security of Diffie-Hellman

Adversary's objective: find  $K$ .

*Diffie-Hellman Problem (DHP):*

Given  $p$ ,  $g$ ,  $g^a \pmod{p}$ ,  $g^b \pmod{p}$ , find  $g^{ab} \pmod{p}$ .

- equivalent to finding  $K$ .

Recall the *Discrete Logarithm Problem (DLP)*:

Given  $p$ ,  $g$ ,  $g^x \pmod{p}$ , find  $x$ .

- If an adversary can solve an instance of the DLP, she can solve the DHP.
- It is unknown if there are ways of solving the DHP, and hence breaking DH key agreement, other than extracting discrete logs.

## DLP Algorithms and Record

The fastest known algorithm for extracting discrete logs is the *Number Field Sieve* which is a very complicated algorithm using extremely sophisticated number theory.

### Note 1

The current NFS DL record is for the prime  $p = \text{RSA-240} + 49204$  (798 bits, 240 decimal digits), held by Boudot-Gaudry-Guilevic-Heninger-Thomé-Zimmerman (December 2019):

```
log5(774356626343973985966622216006087686926705588649958206166317147722421706101723470351970238538755049093424997)
= 92603135928144195363094955331732855502961099191437611616729420475898744562365366788100548099072093487548258752802923326
447367244150096121629264809207598195062213366889859186681126928982506005127728321426751244111412371767375547225045851716
```

Another algorithm for extracting discrete logs, due to Pohlig and Hellman, is very efficient if  $p - 1$  is *smooth*. i.e. has only small prime factors. Its run time is governed by the largest prime factor of  $p - 1$ .

## Diffie-Hellman – Best Choice for $p$

The best choice for  $p$  is a *safe prime*, i.e. a prime of the form

$$p = 2q + 1 \text{ with } q \text{ prime.}$$

Such a  $q$  is called a *Sophie Germain* prime.

- $p - 1 = 2q$  has a prime factor that is as large as possible, thus foiling Pohlig-Hellman attacks.
- Lots of primitive roots of  $p$ : for  $q \neq 2$  (so  $p \geq 7$ ), we have

$$\phi(p - 1) = \phi(2)\phi(q) = 1 \cdot (q - 1) = \frac{p - 3}{2} \approx \frac{p}{2}.$$

In fact, for any primitive root  $g$  of  $p$ , the  $(p - 3)/2$  primitive roots of  $p$  are precisely the odd powers of  $g$  except  $g^q$ .

- Optimizes primitive root choices and test.

$p$  is found by first finding a prime  $q$  (1023 bits) and then checking that  $p = 2q + 1$  is prime.

## Diffie-Hellman – Best Choice for $g$

Best choice for  $g$ : any primitive root of  $p$  (in practice ideally a small one)

- Maximizes the number of possible values  $K$  (every element in  $\mathbb{Z}_p^*$  is a possible key).
- Assuming  $p = 2q + 1$  is a safe prime (i.e.  $q$  a Sophie-Germain prime):
  - $g$  is easily found via random choices because almost half of all integers modulo  $p$  are primitive roots of  $p$ .
  - Either 2 or  $q$  is a primitive root of  $p$  (but never both).
  - Primitive root test is cheap: need only choose  $1 < g < p - 1$  and  $g^q \not\equiv 1 \pmod{p}$  as  $g^2 \equiv 1 \pmod{p}$  iff  $g \equiv \pm 1 \pmod{p}$ .

(See the MATH 318 Problems on Assignment 2.)

## Man-in-the-Middle Attack Against Diffie-Hellman

AKA “monster-in-the-middle”, “machine-in-the-middle” or “monkey-in-the-middle” attack for gender neutrality. We can also use “Mallory-in-the-middle”.

This is an active attack (omit all “mod  $p$ ”s to avoid clutter).

- Mallory intercepts  $g^a$  from Alice and  $g^b$  from Bob.
- She selects  $e$  and sends  $g^e$  to both Alice and Bob.  
Alice now thinks that  $g^e$  is  $g^b$ , and Bob thinks  $g^e$  is  $g^a$ .
- Alice computes what she thinks is  $(g^b)^a$ , but in fact computes  $(g^e)^a$ .
- Bob computes what he thinks is  $(g^a)^b$ , but in fact computes  $(g^e)^b$ .
- Mallory computes  $(g^a)^e$  (which is what Alice thinks is the key) and  $(g^b)^e$  (which is what Bob thinks is the key).

## Consequence of MITM attack

Mallory now shares the key  $g^{ea}$  with Alice and the key  $g^{eb}$  with Bob.

If Alice sends a message encrypted with  $g^{ea}$  to Bob:

- Mallory intercepts it, decrypts it with  $g^{ea}$ , re-encrypts it with  $g^{eb}$  and sends it on to Bob.
- Bob decrypts it unsuspectingly and in his perspective correctly uses the key  $g^{ab}$  (mod  $p$ ).

Similarly, Mallory can read all traffic from Bob to Alice.

Even worse - she can modify it!

## Summary of MITM Attack

Schematic of MITM (all “mod  $p$ ”s again omitted).

Alice		Mallory		Bob
$a$		$e$		$b$
$g^a$	$\rightarrow$	$g^a \mid g^e$	$\rightarrow$	$g^e$ – thinks this is $g^a$
$g^e$ – thinks this is $g^b$	$\leftarrow$	$g^e \mid g^b$	$\leftarrow$	$g^b$
$(g^e)^a$ – thinks this is $(g^b)^a$		$(g^a)^e, (g^b)^e$		$(g^e)^b$ – thinks this is $(g^a)^b$
<hr/>				
Encrypts $M$ with $g^{ea}$	$\rightarrow$	Decrypts $M$ with $g^{ea}$		
		Re-encrypts $M$ with $g^{eb}$	$\rightarrow$	Decrypts $M$ with $g^{eb}$
		Decrypts $M'$ with $g^{eb}$	$\leftarrow$	Encrypts $M'$ with $g^{eb}$
Decrypts $M'$ with $g^{ea}$	$\leftarrow$	Re-encrypts $M'$ with $g^{ea}$		

## Protection Against MITM

Solution: keys need to be *entity-authenticated* (i.e. verified as belonging to the correct person).

- This is done using digital signatures, which we'll discuss later.

MITM attack is an example of *protocol failure* that can happen when adversarial models are too weak

- Basic (un-authenticated, or *anonymous*) DH is provably secure against passive adversaries (can only eavesdrop)
- Easily defeated by active adversary

Beware of cryptography textbooks that only focus on the mathematics and ignore these issues!

## Generating Primes

Recall

### Fermat's Little Theorem

If  $p$  is a prime and  $a$  is an integer with  $p \nmid a$ , then  $a^{p-1} \equiv 1 \pmod{p}$ .

Given  $N$  (which may or may not be prime), let  $a \in \mathbb{Z}_N$ .

- If  $a^{N-1} \not\equiv 1 \pmod{N}$ , then  $N$  is composite (by Fermat).
- If  $a^{N-1} \equiv 1 \pmod{N}$ , then  $N$  could be prime, or it could be composite in which case it is referred to as a “base  $a$  pseudoprime”.

### Example 7

$N = 15$ :  $13^{N-1} \equiv 13^{14} \equiv 4 \pmod{15}$ , so 15 is not a prime.  
 $11^{14} \equiv 1 \pmod{15}$ , so 15 is a base 11 pseudoprime.

## The Fermat Primality Test

Input:  $N$

Output: “prime” or “composite”.

- 1 Generate random  $a \in \mathbb{Z}_N$ .
- 2 If  $\gcd(a, N) > 1$ , output “composite” and stop.
- 3 If  $a^{N-1} \not\equiv 1 \pmod{N}$ , output “composite”, else output “prime”.

The “else” clause in step 3 may produce a lie. Provably, this test lies with expected probability  $\leq 1/2$ , but in practice, it rarely lies.

To obtain a large prime:

- 1 Generate a random number  $N$  of the desired size
- 2 trial-divide  $N$  by all small primes (say up to a trillion)
- 3 If  $N$  passes step 2 (i.e. has no small prime factors), run the Fermat test on  $N$  for a few small prime bases  $a$ . If  $N$  passes, declare  $N$  prime.

## Is this Fool-Proof?

Unfortunately, there are composite numbers (called *Carmichael numbers*) for which  $a^{N-1} \equiv 1 \pmod{N}$  for ALL  $a \in \mathbb{Z}_N^*$ .

- Thus, the Fermat test *always* lies for Carmichael numbers  $N$ .

The smallest Carmichael number is  $561 = 3 \cdot 11 \cdot 17$ . The next few are 1105, 1729, 2465, 2821, 6601, 8911. These are all the Carmichael numbers up to 10,000.

- Even worse: it has been proved that there are infinitely many Carmichael numbers (Alford-Granville-Pomerance 1994).
- The good news is that they are very rare, so this test will give work well for most integers (and works very well in practice).

## Efficient Modular Exponentiation

Recall that Diffie Hellman requires computation of  $g^a$ ,  $g^b$ ,  $(g^a)^b$ ,  $(g^b)^a \pmod{p}$ . How efficient is DH key agreement?

- In other words, how fast is it to evaluate modular powers?
- Fast modular exponentiation is also needed in the Fermat primality test, the primitive root test, and RSA (later).

Goal: Efficiently evaluate  $a^n \pmod{m}$  given  $a, n, m$ .

One example: binary exponentiation

- based on the binary expansion of  $n$ :

$$n = b_0 2^k + b_1 2^{k-1} + \cdots + b_{k-1} 2 + b_k$$

where  $b_0 = 1$ ,  $b_i \in \{0, 1\}$  for  $1 \leq i \leq k$  with  $k = \lfloor \log_2 n \rfloor$ .

## Binary Exponentiation: Idea

Given  $b_0, \dots, b_k$ , we can evaluate  $n$  efficiently using *Horner's Method*:

$$n = 2(\dots(2(2b_0 + b_1) + b_2) \cdots + b_{k-1}) + b_k .$$

Define  $s_0 = b_0$ ,  $s_{i+1} = 2s_i + b_{i+1}$  for  $0 \leq i \leq k-1$ . Then

$$s_0 = b_0$$

$$s_1 = 2s_0 + b_1 = 2b_0 + b_1$$

$$s_2 = 2s_1 + b_2 = 2(2b_0 + b_1) + b_2 = 2^2b_0 + 2b_1 + b_2$$

$$\vdots$$

$$s_k = n .$$

Using induction on  $i$ , one can formally prove:

$$s_i = \sum_{j=0}^i b_j 2^{i-j} \quad \text{for } 0 \leq i \leq k .$$

## Binary Exponentiation: Description

For  $0 \leq i \leq k$ , define

$$r_i \equiv a^{s_i} \pmod{m} .$$

Then  $r_k \equiv a^{s_k} \equiv a^n \pmod{m}$  and we can compute  $r_k$  iteratively as follows:

$$r_0 \equiv a^{s_0} \equiv a \pmod{m}$$

$$r_1 \equiv a^{s_1} \equiv a^{2s_0+b_1} \equiv (a^{s_0})^2 a^{b_1} \equiv (r_0)^2 a^{b_1} \pmod{m}$$

$$\vdots$$

$$r_{i+1} \equiv a^{s_{i+1}} \equiv a^{2s_i+b_{i+1}} \equiv (a^{s_i})^2 a^{b_{i+1}} \equiv (r_i)^2 a^{b_{i+1}} \pmod{m} .$$

## Binary Exponentiation: Algorithm

The actual algorithm:

- ① Initialize  $r_0 = a$ .
- ② for  $0 \leq i \leq k-1$  compute

$$r_{i+1} = \begin{cases} r_i^2 \pmod{m} & \text{if } b_{i+1} = 0 , \\ r_i^2 a \pmod{m} & \text{if } b_{i+1} = 1 . \end{cases}$$

AKA "Square & Multiply".

## A Toy Example

Compute  $2^{13} \pmod{22}$ .

$$13 = 8 + 4 + 1 = 2^3 + 2^2 + 0 \cdot 2^1 + 2^0 = (1101)_2, \text{ so}$$

- $k = 3$  (one less than the number of bits in 13) and
- $b_0 = 1, b_1 = 1, b_2 = 0, b_3 = 1$ .

$$\text{Initialization: } r_0 = 2$$

$$\text{Since } b_1 = 1: r_1 \equiv r_0^2 a \equiv 2^2 \cdot 2 \equiv 8 \pmod{22}$$

$$\text{Since } b_2 = 0: r_2 \equiv r_1^2 \equiv 8^2 \equiv 20 \pmod{22}$$

$$\text{Since } b_3 = 1: r_3 \equiv r_2^2 a \equiv 20^2 \cdot 2 \equiv (-2)^2 \cdot 2 \equiv 8 \pmod{22}$$

Answer:  $2^{13} \equiv 8 \pmod{22}$ .

## Binary Exponentiation: Analysis

What is the computational cost of this? Recall

$$r_{i+1} = \begin{cases} r_i^2 \pmod{m} & \text{if } b_{i+1} = 0, \\ r_i^2 a \pmod{m} & \text{if } b_{i+1} = 1, \end{cases} \quad (0 \leq i \leq k-1).$$

- $k$  modular squarings
- $h(n)-1$  modular multiplications by  $a$ , where  $h(n)$  is the *Hamming weight* of  $n$ , i.e. the number of '1's in the binary expansion of  $n$ .

*Total cost:* at most  $2\lfloor \log_2(n) \rfloor$  modular multiplications.

Also note that all intermediate operands are smaller than  $m^2$

- Important that  $r_i$  is reduced modulo  $m$  after every operation

## Where are we at?

Recall cryptographic services:

- Data confidentiality: [discussed](#)
- Data integrity: [next](#)
- Authentication: [next](#)
- Non-repudiation
- Access Control: [discussed a bit](#)

Recall cryptographic mechanisms:

- Encryption — for confidentiality and limited data integrity: [discussed](#)
- Hash functions, Message Authentication Codes (MACs) — for data integrity : [next](#)
- Digital signatures — for data origin authentication and non-repudiation
- Authentication protocol — for entity authentication